

Sector § #3



**PATENT**  
Attorney Docket No. 204862

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Srinivas

Art Unit: 2776

Application No. 09/602,431

Examiner: not yet assigned

Filed: June 23, 2000

For: SYSTEM AND METHOD FOR  
ENHANCING A SERVER'S ABILITY TO  
WITHSTAND A "SYN FLOOD" DENIAL  
OF SERVICE ATTACK

**Commissioner of Patents and Trademarks**  
**Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Applicant hereby responds to the Notice To File Missing Parts of Application dated September 27, 2000.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

The verified statement ☐ is attached or ☐ was filed on .

**2. Fees**

Pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due \$130.00**

**CERTIFICATE OF MAILING**

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: October 11, 2000

Carla Owens

In re Appln. of Srinivas  
Application No. 09/602,431



**3. Documents Submitted Herewith**

- ☒ Executed Declaration of inventor(s).
- ☐ Verified Statement claiming small entity status.
- ☐ Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- ☐ Verified English translation of application.
- ☒ Copy of the Notice to File Missing Parts of Application.
- ☒ Other: Check in the amount of \$130.00 and its return postcard.

**4. Extension Of Term**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- ☐ Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00.
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$0.00**

**5. Total Fee Due**

The total fee due is:

Surcharge	\$130.00
Extension Fee (if any)	\$0.00

**Total Fee Due: \$130.00**

**6. Fee Payment**

- ☒ Attached is a check in the sum of \$130.00.
- ☐ Charge Account No. 12-1216 the sum of \$ . A duplicate of this transmittal is attached.

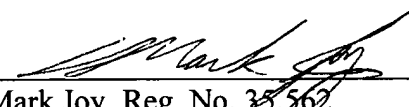
In re Appln. of Srinivas  
Application No. 09/602,431

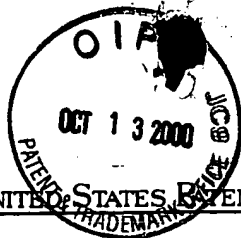


**7. Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.

Date: October 11, 2000

  
\_\_\_\_\_  
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UNITED STATES PATENT AND TRADEMARK OFFICE

Matthew

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/602,431	06/23/2000	Nk Srinivas	204862

23460  
LEYDIG VOIT & MAYER, LTD  
TWO PRUDENTIAL PLAZA, SUITE 4900  
180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

**FORMALITIES LETTER**



Date Mailed: 09/27/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

***A copy of this notice MUST be returned with the reply.***

*Shenoy*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

LEYDIG, VOIT & MAYER  
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OCT 4 - 2000

PAT/TM Due Date 11-27-00

FINAL 3-27-01

10/16/2000 EXAMINER 00000071 09602431

01 FC:105

130.00 OP

LF